Neurological consequences of systemic inflammation in the premature neonate

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Abstract
Despite substantial progress in neonatal care over the past two decades leading to improved survival of extremely premature infants, extreme prematurity continues to be associated with long term neurodevelopmental impairments. Cerebral white matter injury is the predominant form of insult in preterm brain leading to adverse neurodevelopmental consequences. Such brain injury pattern and unfavorable neurologic sequelae is commonly encountered in premature infants exposed to systemic inflammatory states such as clinical or culture proven sepsis with or without evidence of meningitis, prolonged mechanical ventilation, bronchopulmonary dysplasia, necrotizing enterocolitis and chorioamnionitis. Underlying mechanisms may include cytokine mediated processes without direct entry of pathogens into the brain, developmental differences in immune response and complex neurovascular barrier system that play a critical role in regulating the cerebral response to various systemic inflammatory insults in premature infants. Understanding of these pathologic mechanisms and clinical correlates of such injury based on serum biomarkers or brain imaging findings on magnetic resonance imaging will pave way for future research and translational therapeutic opportunities for the developing brain.

Key Words: extremely premature infants; systemic inflammation; white matter injury; neurodevelopmental impairment; cytokines

Introduction
Premature birth occurs in 10% of pregnancies in the United States (Centers for Disease Control and Prevention (CDC), 2015) and 5–18% of pregnancies across 184 countries worldwide (Blencowe et al., 2012). Neurodevelopmental impairment such as cerebral palsy (prevalence 5–10%) and cognitive and behavioral deficits (prevalence 40–50%) are a major cause of morbidity in survivors of prematurity (Gargus et al., 2009; Stoll et al., 2010). Despite major progress in neonatal care over the last two decades and improved survival rates for extremely premature infants (born at gestational age ≤ 28 weeks), premature infants continue to contribute inordinate to the burden of early childhood morbidity and long term neurodevelopmental disability (Saigal and Doyle, 2008). The goals of this article are to provide a brief review of current literature with respect to the contribution of inflammatory pathways in preterm infant brain injury risks and outcomes.

The Association between Perinatal Inflammatory States and Neurodevelopmental Impairment
The profound vulnerability of the developing brain to cumulative insults by inflammatory, hypoxic-ischemic and metabolic processes in the perinatal period is a primary component of brain injury in prematurity (Volpe, 2009). Infections, whether congenital or iatrogenic, are a frequent complication in premature infants. The risk of developing serious life threatening blood stream infection increases with decreasing birth weight and gestational age. In addition, a considerable number (25–60%) of extremely premature infants develop at least one invasive bacterial infection during their neonatal intensive care hospitalization, with recurrent infections being common among many premature neonates (Stoll et al., 2010). Chorioamnionitis diagnosed clinically or histologically is an important cause of premature birth. Presence of histologic evidence of chorioamnionitis has been shown in 65% of placenta in deliveries done at 23–24 weeks of gestational age and can be detected in 30% placenta delivered at 29 weeks of gestation (Lahra and Jeffery, 2004). Presence of intrauterine infection does not always translate to early onset sepsis in preterm neonates. However, it could lead to activation of a fetal inflammatory response which may be associated with cerebral white matter injury and later neurodevelopmental impairment (Wu and Colford, 2000; Yoon et al., 2003). Recent reports have demonstrated that any form of systemic inflammation such as clinical sepsis or culture proven sepsis, meningitis with or without bacteremia, bronchopulmonary dysplasia (BPD) and necrotizing enterocolitis (NEC) were all associated with impaired growth and increased risk of adverse neurologic sequelae in premature infants (Stoll et al., 2004; Schlapbach et al., 2011; Mitha et al., 2013). Interestingly, it has also been reported that late onset sepsis in preterm infants and recurrent neonatal blood stream infections even without evidence of meningitis are associated with increased risk of progressive cerebral white matter injury and acute changes in cerebral function indicated by burst suppression pattern on electrographic studies (Glass et al., 2008; Helderman et al., 2010), pointing to
systemic inflammation from the blood stream infection likely contributing to collateral brain damage.

BPD, which is characterized by arrested alveolar growth and lung inflammation defined as supplemental oxygen administration at 36 weeks of postmenstrual age for premature infants < 32 weeks of gestation, is known to have a high incidence (55%) in infants born at or < 26 weeks in a recent report of morbidities in prematurity (Stoll et al., 2015) that continues to increase as more extremely low birth weight infants weighing less than 1,000 g (ELBW) are surviving. BPD is a notable precedent of impaired neurodevelopmental and adverse motor outcomes that is independently associated with cognitive impairment in ELBW infants (Natarajan et al., 2012). In another recent cohort, prolonged dependence on mechanical ventilation at 36 weeks of postmenstrual age, often seen in infants with severe BPD, substantially increased the risk of quadripareisis and diparesis by 6 fold and 4 fold respectively (Van Marter et al., 2011).

Significant neurologic, cognitive and behavioral problems are common sequelae of serious central nervous system (CNS) lesions of prematurity such as severe grade intraventricular hemorrhage with post hemorrhagic ventricular dilatation, hemorrhagic parenchymal infarction or cystic periventricular leukomalacia (PVL). However, the majority of premature infants exhibiting neurocognitive deficits do not manifest such patterns of injury, suggesting that there may be other alterations, insults and remodeling in the developing premature brain resulting in more diffuse injury. Serial brain magnetic resonance imaging (MRI) data from a cohort of 119 premature babies born less than 30 weeks of gestation showed that at least 50% of babies manifest some degree of cerebral white matter injury which accounts for the majority of neurological deficits seen in survivors of prematurity (Dyet et al., 2006). Such cerebral white matter injury characterized by loss of myelination of oligodendrocytes is the predominant form of brain injury seen in premature brain and is associated with high incidence of neurodevelopmental impairment (Khwaja and Volpe, 2008). The exclusive developmental anatomy of the premature brain and its exposure to the abnormal ambiance of extraterine preterm life makes it particularly vulnerable to damage. Systemic inflammatory insults, such as those described in the previous paragraphs, are common phenomena in premature babies. Systemic inflammation activates a cascade of pro-inflammatory cytokines in circulation which leads to activation of microglia locally in the brain along with free radical attack by reactive oxygen and nitrogen species resulting in maturation dependent cell death and apoptosis of neural cells. Further definition of mechanisms that cause such collateral brain injury will hopefully lead to prevention strategies in these settings of systemic inflammatory states.

The Impact of Systemic Inflammation on Premature Brain: Potential Mechanisms of Brain Injury

Cytokine driven tissue injury

The pathophysiology of systemic inflammation in a preterm neonate involves a complex interplay between innate host defense and an impaired adaptive immune response of a developing fetus challenged too early to extraterine life with precipitous exposures to various new antigens they are not prepared to respond against. Microorganisms express preserved sequences of pathogen associated molecular patterns (PAMPs) such as lipopolysaccharides (LPS) or double stranded RNA which can be recognized by human cells through pattern recognition receptors such a Toll-like receptors (TLR) in clinical conditions such as sepsis and bacteremia (Wynn and Levy, 2010). A cascade of reactions are generated when the neonate's immune system recognizes such pathogens that ultimately lead to activation of pro-inflammatory cytokines and chemokines such as tumor necrosis factor-α (TNF-α), interleukin-1β (IL-1β) and IL-6 (Janeway and Medzhitov, 2002; Weighardt and Holzmann, 2007). The adaptive immune response in premature babies is unique because compared to adults, there is dampened production of T-helper 1 (Th1) polarizing cytokines such as TNF-α, IL-1β, interferon-γ (IFN-γ) and IL-12 and robust production of T-helper 2 (Th2) polarizing cytokines leading to increased susceptibility to infections (Sadeghi et al., 2007; Wynn et al., 2008). This in turn incites dysregulation of inflammatory reactions of the premature infant leading to a pro-inflammatory state.

Cytokines may gain access to the CNS by blood borne routes or by endogenous production from immune cells, brain endothelial cells, microglia, astrocytes and neurons (Sebire et al., 1993; Banks, 2005). Elevated levels of circulating cytokines in premature neonates were evidenced in cord blood, amniotic fluid, cerebrospinal fluid and cerebral parenchyma of infants diagnosed with inflammation related white matter lesions speaking to potentially direct damage by the systemic cytokines (Yoon et al., 1996, 1997; Martinez et al., 1998). While there is no clear correlation between cytokine profile in plasma and cerebrospinal fluid (CSF) of preterm neonates in response to systemic inflammation or infection, systemic inflammation certainly appears to be associated with premature brain injury (Ellison et al., 2005).

Cytokines may also activate local immune cells in the premature brain. Astrocytes and microglia are the two primary CNS cell types which are involved in intracerebral immune interactions by secretion of cytokines and chemokines. Astrocytes, microglia and oligodendrocyte progenitor cells also express and are activated by cytokine specific receptors such as IL-1β and IFN-γ receptors (Vela et al., 2002). Various cytokines particularly TNF-α and IFN-γ have been isolated directly in neonatal PVL and white matter lesions expressed specifically in microglia/macrophages and astrocytes respectively (Kadhim et al., 2001; Folkther et al., 2004) with degree of expression correlating with severity of oxidative damage on histopathological studies (Folkerth et al., 2004). Immunohistochemistry staining of preterm infant autopsy brain specimens have demonstrated oxidative and nitrative damage in primarily progenitor premyelinating oligodendrocytes in white matter lesions of the immature brain (Haynes et al., 2003; Back et al., 2005). CSF data followed in a longitudinal study of premature very low birth weight (VLBW) infants also support the role of oxidative damage and elevated levels of oxidative products in CSF of preterm infants with brain MRI evidence of white matter injury at term gestation compared to premature infants without evidence of white matter lesions on MRI (Inder et al., 2002). The extent of the involvement of local microglia in such inflammation associated preterm brain injury may be related to its unique de-
velopmental or maturation dependent location. Recent brain autopsy studies have ascertained presence of microglia in the human forebrain starting from 16-22 weeks of gestation with heavy localization in the cerebral white matter (Rezaie et al., 2005; Monier et al., 2006). The density of microglia reaches its peak in the third trimester which is the period for intense susceptibility for the preterm brain to develop white matter injury with significant decline in density at term gestation (Billiards et al., 2006).

The current evidence and observations suggest that an active fetal cerebral immune response resulting in excitotoxicity and free radical attack, secondary to systemic inflammatory insult rather than actual passage of pathogens into the developing CNS is likely the key pathogenesis for cerebral damage in premature infants (Figure 1).

**Alteration of blood-brain barrier (BBB) function**

The BBB and blood cerebrospinal fluid barrier (BCSFB) are two major interfaces which play a critical role in separating the brain parenchyma from systemic circulation, thereby preserving and maintaining a normal physiologic environment paramount for optimal brain growth and function. The BBB is a highly selective diffusion barrier composed of specialized endothelial cells interconnected by an elaborate network of complex tight junction proteins in a basal lamina containing pericytes and perivascular antigen presenting cells enveloped by perivascular astrocytes (Abbott et al., 2010). Contrary to old reports of BBB being under developed in fetuses and neonates, the selective function of the BBB is effective from very early stages of brain development. It is demonstrated by exclusion of passage of proteins and small lipid insoluble molecules between systemic circulation and brain extracellular fluid with a characteristic maturation dependent decline in permeability as the brain develops (Saunders et al., 1999, 2000). Animal studies in fetal and newborn rats and opossums showed increased permeability of the BBB (not BCSFB) to plasma proteins specifically acute phase reactants, IL-1β and TNF-α in response to LPS induced systemic inflammation during a restricted period of postnatal brain development in both species. This was determined immunocytochemically by the presence of related proteins in surrounding cerebral blood vessels and brain parenchyma involving particularly the cerebral white matter (Stolp et al., 2005) again highlighting the location specific nature of perinatal brain injury in association with systemic inflammation in prematurity.

The BBB is a dynamic regulatory structure the organization or function of which may be altered by circulating cytokines, chemokines or chemical secreted by cells constituting the BBB itself. Agents associated with altered BBB integrity in adults with neurodegenerative diseases or stroke are bradykinin, histamine, serotonin, glutamate, adenosine, platelet activating factor, prostaglandins, leukotrienes, interleukins (IL-1β, IL-1α, IL-6), TNF-α, macrophage inhibitory proteins, matrix metalloproteinases, free radicals and nitric oxide, many of which are upregulated during and after infections and systemic inflammatory states (Abbott et al., 2006). Disordered function of BBB may be a result of systemic or locally secreted cytokines altering saturable transporter mechanisms (Xiao et al., 2001) or adenosine triphosphate dependent efflux pump activity of the BBB (Bauer et al., 2007) and not truly compromising its structural integrity. Hence it is possible that localized or systemic inflammatory response in fetuses or premature neonates remote from the CNS may result in increased cytokine admittance to the CNS by disruption of BBB/BCSFB function. Since there is some indication from animal studies that such alteration of BBB may be brain maturation or location specifically white matter related, identification of periods in brain development when the fetal or premature CNS is most vulnerable to inflammatory insult induced damage is of paramount importance to determine the therapeutic window for any pharmacologic intervention against such brain injury.

**Impaired cerebrovascular autoregulation**

It is unclear what role hypotension, cardiovascular instability, and/or impairment of cerebrovascular autoregulation in response to systemic cytokine surge and brain ischemia play in sepsis and fetal inflammatory states. Many premature infants suffer from hypotension and need inotropic support during systemic inflammatory conditions such as sepsis and necrotizing enterocolitis (NEC). Interestingly, decreased systemic blood pressure may not correlate with decreased cerebral blood flow (Volpe, 2008) and pressure passive cerebral circulation is common in sick premature babies (Soul et al., 2007). However, cytokinemia associated with systemic inflammatory states and infection in premature infants may blunt cerebral vascular auto regulatory mechanisms in the developing brain and augment an otherwise weak hypoxic-ischemic insult to a potent damaging one (Ikeda et al., 2004; Larouche et al., 2005; Wang et al., 2007).

**Diagnostic Imaging and Biomarkers of Perinatal White Matter Injury in Premature Infants**

Biomarkers with accurate diagnostic and prognostic potential are needed for differentiating between infants who have significant neuroinflammation and white matter injury associated with chances of permanent sequelae from the ones who might benefit from therapeutic interventions. The Extremely Low Gestational Age Newborn (ELGAN) study for newborns at extremely low gestational age demonstrated that serial measurements of plasma levels of vascular endothelial growth factor receptor 1, serum amyloid A, macrophage inflammatory protein 1β on day of life 1 and IL-8 on day of life 7 in extremely premature infants were associated with increased risk ventriculomegaly and diffuse white matter changes on brain MRI (Leviton et al., 2011). Even though plasma cytokine levels for prediction of white matter injury seems to have diagnostic value, earlier studies have failed to establish a correlation between plasma and CSF cytokine levels further implying that certain cytokines such as IL-8 may achieve higher levels in CSF than in plasma which may be more predictive of MRI-defined white matter injury related to systemic infection or inflammation in premature newborns (Ellison et al., 2005). Hence, overt reliance on plasma cytokine levels may delay or prevent early recognition of neurological consequences of systemic inflammatory states. Currently, cytokines are not routinely measured in a neonatal intensive care unit (NICU) setting in preterm inflammatory conditions and will necessitate cost effective standardized validated assay meth-
ods prior to integration into standard NICU practice.

The use of neonatal brain MRI is a safe technique to study in vivo brain development and has lead way for research in sequelae of premature birth and prematurity related comorbidities on the developing brain. Traditionally brain ultrasound imaging has been used routinely in NICU practice for serial monitoring of germinal matrix hemorrhage, intraventricular hemorrhage and its complications and detection of PVL. Although these are well recognized causes of motor and neurodevelopmental impairment in premature babies these are not the predominantly noted brain lesions on MRI imaging of premature babies. In a cohort of 119 VLBW babies, only 2 infants were noted to have evidence of PVL on brain MRI whereas 26 babies had punctate lesions in the white matter and 70 had diffuse excessive high signal intensity (DEHSI) at term equivalent age (Dyet et al., 2006). The etiology and clinical relevance of these newly recognized brain lesions using advanced MRI techniques are still to be elucidated. Some authors have hypothesized that such punctate white matter lesions and DEHSI in preterm population represent activated microglia and hence may indicate an association with sepsis, systemic infections or chronic lung disease of prematurity (Anjari et al., 2009; Rutherford et al., 2010).

Some authors have reported long term follow up studies on cohorts of premature VLBW infants to determine if moderate to severe white matter abnormalities and DEHSI noted on brain MRI are anatomic antecedents of neurological impairments in children born prematurely. In a study of 167 very premature infants (born < 30 weeks of gestation), the presence of any white matter abnormality or moderate to severe white matter abnormalities on MRI were noted to be more sensitive although less specific than ultrasound findings in identifying children who had severe neurodevelopmental impairments long term follow up at 2 years (corrected for prematurity) age (Woodward et al., 2006). The presence of moderate to severe white matter abnormalities in this study was predictive of severe psychomotor delay (10%), cerebral palsy (10%), cognitive delay (25%) on Bayley scales of Infant Development-II and neurosensory impairment (11%) independently of abnormalities on cranial ultrasound imaging. Another smaller study which looked at diffusion weighted imaging on 38 VLBW infants at term equivalent age found that the presence of DEHSI was strongly associated with lower developmental quotient at 2 years of age (Krishnan et al., 2007). In another cohort of 68 preterm infants who had confirmed sepsis and or NEC, significant white matter abnormalities were noted on brain MRI at term and those infants had poorer psychomotor development at 2 years of age compared to age and gestation matched controls without sepsis and or NEC (Shah et al., 2008). Evidence of thalamic atrophy, loss of myelination in cortical white matter on MRI imaging are strong predictors of developmental of cerebral palsy and inability of independent walking in preterm infants (Inder et al., 1999; Cowan and de Vries, 2005; Ricci et al., 2006).

It is noteworthy that some preterm born children with white matter abnormalities on MRI were free of severe impairments and had normal motor development at 2 years of age in both studies (Woodward et al., 2006; Krishnan et al., 2007) which accentuates that obtaining brain MRI at term equivalent age on prematurely born babies may serve as an important screening tool for closer monitoring of babies at highest risk of neurodevelopmental impairments but also that not all ominous brain MRI findings will translate to severe neurodevelopmental problems in early childhood. This highlights the influence of genetics and home environment in overcoming the aftermath of prematurity and systemic inflammation related comorbidities and determining long term neurodevelopmental outcomes.

Potential Therapeutic Interventions: Directions of Future Research

All novel interventions and therapies in neonatology must go through rigorous evaluation for unexpected long term adverse effects prior to their application in routine practice as the vulnerable population of premature babies is in critical phase of organ development and maturation. Even though experimental animal data is available for several strategies targeting amelioration of systemic inflammation induced cerebral injury, their application in routine patient management has so far been limited. Corticosteroids are potent anti-inflammatory agents and dexamethasone use in treatment and prevention of chronic lung disease of prematurity is a well prevalent practice (Doyle et al., 2010). Corticosteroids have been shown to modulate chorioamnionitis induced fetal inflammatory state in fetal ovine model (Wolfe et al., 2013), however the concern of significantly increased risk of cerebral palsy and adverse neurodevelopmental outcomes associated with prolonged postnatal use of corticosteroids particularly dexamethasone in preterm neonates (Wilson-Costello et al., 2009) has prohibited its frequent clinical use.

N-acetylcysteine has shown promise as a free radical scavenger and antioxidant that has successfully prevented LPS and fetal inflammation-induced degeneration of oligodendrocyte progenitor cells and myelination defects by attenuating intracellular inflammatory reaction and TNF, IL-1 and inducible nitric oxide synthase expression in mouse models (Paintlia et al., 2004; Chang et al., 2011; Beloosesky et al., 2013). Minocycline has anti-apoptotic, antioxidant and anti-inflammatory properties in animal models by diminishing microglial activation and maintaining BBB integrity in systemic inflammation associated cerebral insult (Stolp et al., 2007). Pentoxifylline, a phosphodiesterase inhibitor that increases intracellular cyclic adenosine monophosphate levels has protective effects in LPS-induced white matter injury in a rat model of PVL (Dilek et al., 2013). The drug has a favorable clinical profile in premature neonates and is currently being studied as an adjunct therapy to decrease mortality and morbidities from sepsis and NEC in premature neonates (Pammi and Haque, 2015). Studies targeting BBB dysfunction are also being explored. Recently, elevated expression of tight junction proteins such as claudin-3, 5 and occludins were noted after treatment with simvastatin and low molecular weight heparin in animal models of sepsis resulting in improved selective function and decreased permeability of the BBB (Li et al., 2015; Yang et al., 2015). Matrix metalloproteinase-2, 9 inhibitors have been reported to reverse increases in BBB permeability and decrease IL-6 expression in animal model of sepsis related BBB dysfunction (Dal-Pizol et al., 2013). Various immunological interventions are additionally the subject of ongoing research efforts. Systemic administration of a synthetic im-
Collectively it is clear that there are several therapeutic strategies that may have real value in reducing the neurodevelopmental morbidities and major costs associated with preterm infant brain injury. For many of these strategies there is ample experimental data to support a conceivable effort toward clinical investigations and make a substantial impact. Given the high prevalence of preterm infant brain injury, and its often-devastating consequences, the diligent pursuit of newer therapies to enhance outcomes is certainly warranted.

**Conclusion**

Brain injury in preterm infants is a major medical problem and health care cost worldwide; inflammatory mechanisms are very likely contributors to nearly all settings and etiologies. Pertinent knowledge gaps still exist in our detailed understanding of underlying cytokine mediated mechanisms, developmental differences in immune response and complex neurovascular barrier system that play a critical role in in regulating the cerebral response to systemic inflammatory conditions in premature human neonates. A better understanding of the dual role of cytokines and balance between pro- and anti-inflammatory mediators and its role in brain development and maturation is needed to completely appreciate the long term neurodevelopmental consequences in this susceptible population. A valid diagnostic cytokine profile needs to be designed for biomarkers of perinatal brain injury for evaluation in a clinical setting of neonatal sepsis, NEC or other systemic inflammatory state. From currently available studies white matter abnormality on MRI seems to be the anatomic substrate or antecedent for future progression to adverse neurodevelopment in a background of prematurity and systemic inflammation. Hence, advanced brain MR imaging should be considered as part of routine care for every premature baby exposed to systemic inflammatory conditions in their neonatal course and not just as an adjuvant evaluation reserved for babies with severe abnormalities on cranial ultrasound imaging at term equivalent age. There is now increasing clinical and experimental evidence that systemic inflammatory pathways can damage the preterm brain even in absence of direct entry of infectious agent to the CNS. Given the high rate of preterm birth and huge prevalence of prematurity related inflammatory states there is a dire need for development of novel translational approaches to alleviate long term neurodevelopmental consequences in this highly vulnerable population.

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The authors provided a brief review of current literatures with respect to the contribution of inflammatory pathways in preterm infant brain injury risks and outcomes. This article is well-written and has good organization. In my opinion, it would be enough to be accepted in this state. See details in the additional file.

Additional file: Open peer review report 1.

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